

1       **Responses to Public Comment on the Draft Cancer Inhalation Unit**  
2               **Risk Factor for *p*-chloro- $\alpha,\alpha,\alpha$ -trifluorotoluene**  
3               **(Parachlorobenzotrifluoride, PCBTF)**

4               Office of Environmental Health Hazard Assessment  
5               California Environmental Protection Agency

6                               January 28, 2020

7       **Introduction**

8       On October 18, 2019, the Office of Environmental Health Hazard Assessment (OEHHA)  
9       released the draft document, [\*p\* Chloro- \$\alpha,\alpha,\alpha\$ -trifluorotoluene \(\*p\*-chlorobenzotrifluoride,  
10       PCBTF\) Cancer Inhalation Unit Risk Factor](#) to solicit public comment. Written comments  
11       on the draft Inhalation cancer Unit Risk Factor (IUR) for PCBTF were received from the  
12       American Coatings Association (ACA).

13       The Air Toxics Hot Spots statute (Health and Safety Code Section 44360(b)(2)) requires  
14       the Office of Environmental Health Hazard Assessment (OEHHA) to develop guidelines  
15       for conducting health risk assessments carried out within the Hot Spots program. To  
16       meet this requirement, OEHHA published a Technical Support Document (TSD) in 2009  
17       that reviews the methodology the office has used over the years to derive cancer  
18       potency factors. The TSD also provides updated calculation procedures for estimating  
19       cancer potency factors, including procedures for evaluating the increased susceptibility  
20       of infants and children to carcinogens.

21       The methods recommended in the TSD are generally similar to those described in the  
22       US Environmental Protection Agency's (US EPA) Carcinogen Risk Assessment  
23       Guidelines (2005). The TSD therefore refers to US EPA (2005) for additional discussion  
24       of various procedures that are also used by OEHHA. The TSD provides more detailed  
25       guidance in cases where OEHHA recommendations are different from those of US  
26       EPA.

27       The guidelines presented in the 2009 TSD were used to derive an IUR for PCBTF.

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## 29 **Responses to ACA Comments**

30 In the following sections, OEHHA summarizes the substantive issues raised in the ACA  
31 comment letter and provides responses to these issues.

### 32 **ACA Comment 1**

33 ACA asserts that in deriving the proposed IUR, OEHHA has:

- 34 a. Incorrectly assumed the mutagenicity of PCBTF and employed this assumption  
35 to incorrectly support the use of a low-dose linear risk model, and
- 36 b. Used a technical approach that is inconsistent with US EPA's 2005 guidelines.

37 For example, ACA states:

38 "In the estimation of the Cancer Slope Factor (CSF) or Inhalation Unit Risk (IUR)  
39 for PCBTF, OEHHA (2019) has applied linear low-dose extrapolation. This  
40 default assumption is incorrect, because it assumes that PCBTF is mutagenic.  
41 The available data show that PCBTF is not mutagenic."

42 And further:

43 "When a chemical is not mutagenic - as is the case with PCBTF - the application  
44 of non-threshold or linear approaches are inappropriate. This opinion is shared  
45 by other authorities such as the United States Environmental Protection Agency  
46 (USEPA). [...] The USEPA (2005) guidelines indicate that linear extrapolation  
47 should be used for agents that are DNA-reactive and have direct mutagenic  
48 activity. However, when a chemical is not mutagenic - as is the case with PCBTF  
49 - USEPA (2005) provides guidelines for a nonlinear approach."

### 50 **Response to Comment 1**

51 OEHHA's decision to use the low-dose linear assumption for dose-response modeling  
52 was not based upon an assumption that PCBTF is genotoxic (or mutagenic), but instead  
53 upon the lack of information specifically indicating that a nonlinear threshold modeling  
54 approach should be used to develop an IUR for PCBTF. In these situations, OEHHA  
55 uses a conservative, health-protective approach that includes assuming low-dose  
56 linearity in the dose-response model.

57 In addition, OEHHA provides the following point of clarification regarding its use of  
58 genotoxicity (and mutagenicity) information in cancer risk assessment. As noted above,  
59 OEHHA's methods are generally consistent with US EPA's 2005 Guidelines for

60 Carcinogen Risk Assessment. However, OEHHA diverges somewhat from US EPA in  
61 regard to use of genotoxicity information. Unlike US EPA, OEHHA's cancer  
62 methodology does not depend upon making a sharp distinction between genotoxicity  
63 and mutagenicity. The TSD, at page 18, states:

64 "Genetic damage in exposed organisms includes both gene mutations (point or  
65 frameshift), and larger scale effects such as deletions, gene amplification, sister-  
66 chromatid exchanges, translocations and loss or duplication of segments or  
67 whole chromosomes. These genetic effects of chemical exposures are  
68 deleterious in their own right. In addition, since carcinogenesis results from  
69 somatic mutations and similar genetic alterations, agents that cause genetic  
70 damage generally have carcinogenic potential."

71

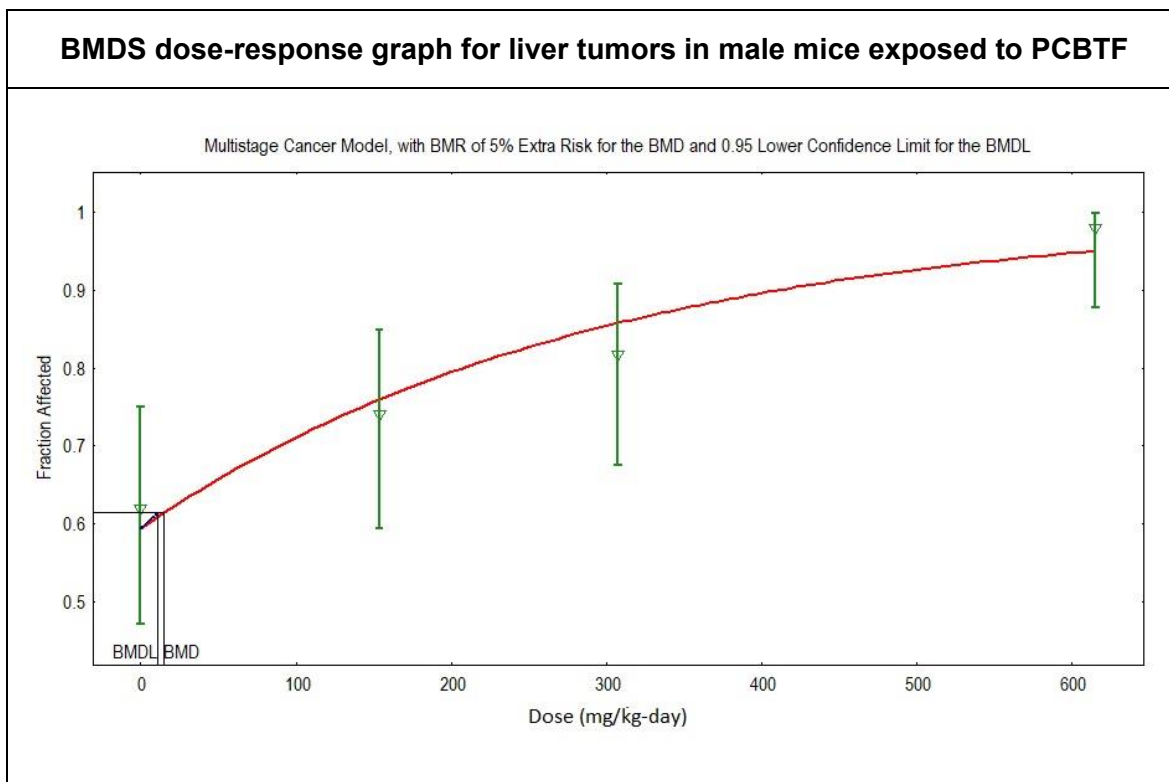
72 Contrary to ACA's assertion regarding the use of a low-dose linear risk model,  
73 OEHHA's use of the model in this case is consistent with US EPA's 2005 guidelines on  
74 page 3-21, which state:

75 "When the weight of evidence evaluation of all available data are insufficient to  
76 establish the mode of action for a tumor site and when scientifically plausible  
77 based on the available data, linear extrapolation is used as a default approach,  
78 because linear extrapolation generally is considered to be a health-protective  
79 approach. *Nonlinear approaches generally should not be used in cases where*  
80 *the mode of action has not been ascertained.*" [emphasis added]

81 OEHHA guidelines over the years have also noted that the linear low-dose assumption  
82 used with the multistage cancer model, is "an appropriate method for dose extrapolation  
83 in most cases" (see TSD, page 75).

84 As the following dose-response graph (adapted from Figure 2 of the IUR document)  
85 illustrates, the data for mouse liver tumors, from which the IUR value was derived, does  
86 not indicate the presence of a threshold for PCBTF tumor induction.

87



88

89 **ACA Comment 2**

90 ACA challenges OEHHA's assessment of the available genotoxicity data as providing  
91 "some evidence" that PCBTF is a genotoxic substance. ACA states that OEHHA's  
92 conclusion contradicts that of the NTP:

93 "OEHHA's approach is inconsistent with conclusions reached by NTP (2018),  
94 which found that PCBTF is neither mutagenic nor more generally genotoxic."

95 ACA's further criticism focuses on the small proportion of studies that reported positive  
96 genotoxic outcomes, as well as the quality of the studies reporting positive results. In  
97 particular, ACA takes issue with the positive genotoxicity results obtained for  
98 unscheduled DNA synthesis (UDS) by Benigni et al. (1982), for sister chromatid  
99 exchanges (SCE) by Litton Bionetics (1979) and for micronucleus formation by NTP  
100 (2018).

101 **Response to Comment 2**

102 OEHHA's review of the available genotoxicity information on PCBTF included the  
103 Benigni et al. (1982) study that was apparently not considered by NTP (2018) and which

104 reported a positive finding for unscheduled DNA synthesis in human embryonic  
105 epithelial cells.

106 The UDS results obtained by Benigni, et al. (1982) are presented in the following table.  
107 A monotonic dose-response can be seen for concentrations between 0 and 2 µl/ml. The  
108 three highest concentrations showed a statistically significant increase in UDS. The  
109 positive, but relatively decreased response at 10 ul/ml may be due to cytotoxicity given  
110 that the method tests a range of concentrations leading up to, but below concentrations  
111 that produce excessive cell loss due to cytotoxicity (San and Stich, 1973).

<b>UDS Results for PCBTF (Benigni, et al., 1982)</b>		
<b>Concentration (µl/ml)</b>	<b>Mean net grains per nucleus</b>	<b>Standard error of replicates</b>
0	1.78	0.53
0.2	3.08	1.7
1	10.02 *	2.21
2	19.82 *	2.18
10	11.94 *	1.33

\* Significant at p=0.01 by t-test.

112 However, OEHHA notes that the concentrations of PCBTF tested by Benigni, et al.  
113 (1982) are well above concentrations that would have been attained in the blood of the  
114 rats and mice exposed in the NTP (2018) cancer studies. For example, female rats  
115 exposed to 50 ppm PCBTF for six hours had blood levels of 6 µg/ml (0.0045 µl/ml)  
116 (Newton, et al. 1998); modeled blood concentrations at 250 ppm exposure for 6 hours  
117 were approximately 36 µg/ml (0.027 µl/ml) (Knaak, et al. 1998).

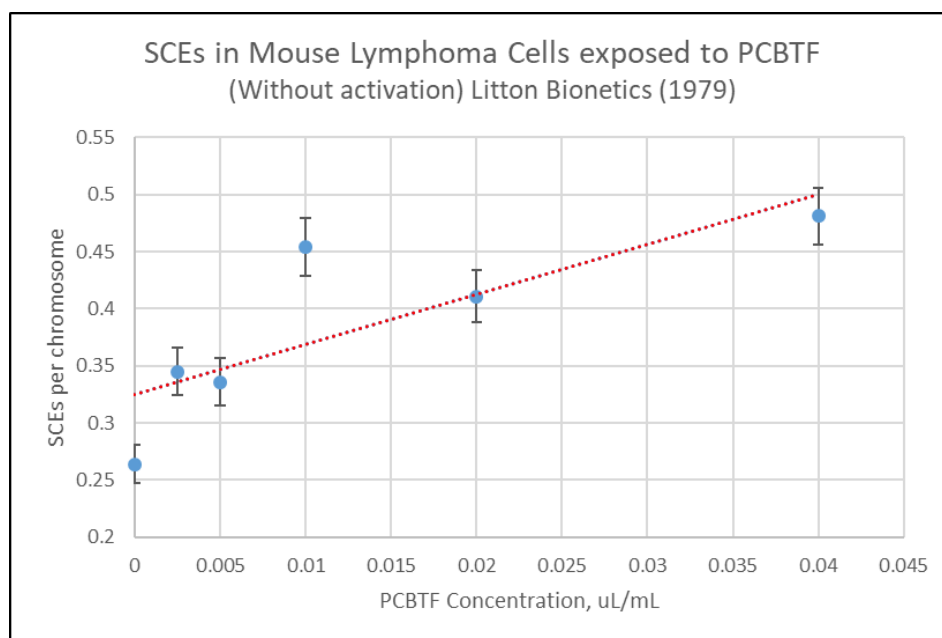
118 Nonetheless, this additional positive result along with the other limited positive test data,  
119 led us to conclude that there was “some evidence” of genotoxicity.

120 In addition, as we noted in the IUR document, two of the more sensitive genotoxicity  
121 assays, namely the “single-cell, gel electrophoresis” (comet) test for DNA-strand breaks  
122 and tests measuring oxidative DNA damage or DNA-adduct formation, have apparently  
123 not been completed for PCBTF or its metabolites. This represents a data gap in the  
124 PCBTF genotoxicity database.

125 In its comments on the Benigni, et al. (1982) study, ACA incorrectly claims that,  
126 “incidences of UDS did not increase with increasing concentration.” ACA additionally  
127 hypothesizes that all the positive results in Benigni may be due to cytotoxicity. However,

128 this seems unlikely since measurements are to be taken at the exposure time that  
129 triggers the highest level of DNA-repair synthesis without substantial lethality, according  
130 to San and Stich (1973).

131 In its assessment of the Litton Bionetics (1979) SCE study, ACA correctly observes that  
132 SCEs per chromosome in the test without microsomal activation were significantly  
133 increased compared to controls for all tested concentrations of PCBTF (t-test p-values <  
134 0.01). ACA notes as well that 3 of 5 tested concentrations with activation displayed  
135 elevated SCEs. However, ACA incorrectly asserts that the increases in the non-  
136 activated test did not display a dose-response trend. As shown in the following chart,  
137 the data do indicate a clear trend. (The trend line in the chart is based on a linear  
138 regression and the error bars represent the standard error of the replicates).



139  
140 Regarding the *in vivo* micronucleus tests in rats and mice, NTP (2018) observed  
141 significantly increased micronuclei only in male mice. The NTP report, at page 72,  
142 states:

143 "In mice from the 3-month study, small but statistically significant increases in  
144 micronucleated mature erythrocytes were seen [in males and females] at the  
145 highest exposure concentration (2,000 ppm), but the observed values for the  
146 female mice were within historical control ranges [...] and were not considered to  
147 be biologically significant [...] For male mice, the observed response was outside  
148 the historical control range for the laboratory and was therefore judged to be  
149 positive."

150 ACA notes that positive results were obtained in the male mouse at an exposure  
151 concentration above those used in the NTP (2018) carcinogenesis study, “suggesting  
152 micronuclei are not part of the mode of action for the observed tumors in rodents.”

153 OEHHA agrees that the positive result for male mice at 2000 ppm exposure are greater  
154 than the levels of exposure used in the NTP (2018) lifetime mouse studies ( $\leq$  400 ppm).  
155 We would also point out, however, that the micronucleus test was based upon a  
156 subchronic exposure. Positive results may have been observed at lower exposure  
157 concentrations had the test been completed after a chronic exposure to PCBTF.

158 Notwithstanding our disagreement with various specific elements of ACA’s overall  
159 genotoxicity commentary, OEHHA concurs with ACA that there is “at best, limited  
160 evidence *in vitro* that PCBTF is genotoxic.” To this we would add that the result for  
161 micronucleus formation in the male mouse, *in vivo*, (NTP 2018) also provides limited  
162 evidence. Accordingly, OEHHA has revised the wording of its conclusion from “some  
163 evidence,” to “limited evidence” that PCBTF is genotoxic.

164 However, as already noted, OEHHA does not require a positive finding of genotoxicity  
165 (or mutagenicity) in order to apply the low-dose linear assumption in cancer dose-  
166 response modeling. Evidence that a chemical is either genotoxic or mutagenic can  
167 provide added support for low-dose linearity, but lack of such evidence does not rule out  
168 its use.

### 169 **ACA Comment 3**

170 ACA states:

171 “In its report, OEHHA (2019) noted concern regarding the generation of a  
172 reactive and genotoxic metabolic intermediate that could potentially be of  
173 concern in determining the mutagenic potential of PCBTF. However, the potential  
174 for a mutagenic metabolite is not supported by the available evidence provided in  
175 Table 4 of OEHHA (2019).”

### 176 **Response to Comment 3**

177 Although the mutagenicity data for PCBTF reported in Table 4 of the IUR document  
178 (including tests with metabolic activation) were uniformly negative, this does not  
179 invalidate the hypothesis that the metabolism of PCBTF to phenolic compounds  
180 involves enzymatic oxidation of PCBTF’s aryl ring, with a potential to form reactive,  
181 electrophilic intermediates such as aryl oxides and quinones. These intermediates may  
182 covalently bind to cellular macromolecules including DNA.

183 **ACA Comment 4**

184 OEHHA did not consider all available data for the mouse liver tumors. Specifically,  
185 OEHHA did not conduct a proper assessment of the Constitutive Androstane Receptor  
186 (CAR) mode of action for mouse liver tumors proposed by NTP (2018), which is  
187 supported by available data. For example, ACA states:

188 “The available science for PCBTF is consistent with a mode of action (CAR  
189 activation) proposed by the NTP (2018) for male mice liver tumors (the endpoint  
190 relied upon for the OEHHA recommended IUR). Further, tumors occurring by this  
191 mode of action in rodents are not relevant to human health. As such, OEHHA  
192 should either abandon use of the mouse liver tumor data when developing the  
193 CSF/IUR or conduct a thorough analysis of the available data to evaluate the  
194 CAR mode of action and the relevance of the mouse liver tumor data to human  
195 health.”

196 **Response to Comment 4**

197 First, ACA is incorrect to say that NTP (2018) “proposed” a CAR-based mode of action  
198 (MOA). NTP discussed some of the evidence indicating that PCBTF may be a CAR  
199 activator in rats and mice. The relevant paragraph of the NTP report at page 76 states:

200 “There is evidence that [PCBTF] inhalation exposure can lead to CYP2B  
201 induction in the liver of Sprague-Dawley rats [...] ; liver microsomes from male  
202 rats exposed to 250 ppm [PCBTF] had approximately six times higher CYP2B  
203 activity compared to controls, with little activity seen at lower exposure  
204 concentrations or in females. Other CYP isoforms evaluated also showed higher  
205 activity in exposed animals; however the strongest induction was CYP2B.  
206 CYP2B activation via the constitutive androstane receptor (CAR) is a known  
207 mechanism of tumor promotion activity in the liver of rodents [...] The potential for  
208 [PCBTF] to activate CAR was evaluated in the Tox21 screening program but  
209 results were inconclusive [...] Liver weights and nonneoplastic lesions observed  
210 in the current 3-month and 2-year studies are also consistent with a potential  
211 CAR-mechanism of action and similar responses have been observed in other  
212 studies with CAR/CYP2B inducers...”

213 In the same report section, NTP concludes that, “further mechanistic studies are needed  
214 to better understand [PCBTF-induced] hepatocellular carcinogenesis.”



215 Second, it has not been adequately demonstrated that rodent liver tumor data from  
216 chemicals fitting the putative CAR adverse outcome pathway (AOP) are irrelevant to  
217 human cancer risk assessment.

218 The main elements of the CAR AOP are:

- 219 • Activation of the constitutive androstane receptor (CAR)
- 220 • Altered expression of hepatic, CAR-dependent genes related to cell cycle control  
221 (associated events: CYP2B and CYP3A induction, increased liver weight, and  
222 hepatocellular hypertrophy)
- 223 • Increased mitogenic cell proliferation of hepatocytes
- 224 • Increased pre-neoplastic liver foci
- 225 • Increased hepatocellular adenomas or carcinomas

226 Recent studies in CAR/PXR<sup>1</sup> humanized mice indicate that induction of mouse and  
227 human CAR/PXR lead to very similar responses. Luisier et al. (2014) examined early  
228 and late transcriptomic responses to sustained phenobarbital (PB) exposure (90 days)  
229 in liver tissue from double knockout CAR and PXR, double humanized CAR and PXR,  
230 and wild-type C57BL/6 mice. Transient induction of genes associated with DNA  
231 replication, cell cycle, and mitosis, and the proliferation-related nuclear antigen Mki67  
232 were observed in both humanized CAR/PXR mice and wild-type mice. These responses  
233 are consistent with hepatocyte proliferation. Peak expression occurred between 1 and 7  
234 days of PB exposure. All of these responses were absent in the knockout mouse livers  
235 and were reversible in wild-type and humanized mice with a 4-week recovery period  
236 following exposure. These data suggest that the activation of both mouse and human  
237 CAR by PB leads to very similar hepatic xenobiotic and proliferative transcriptional  
238 responses in a C57BL/6 mouse genetic background (Luisier et al., 2014).

239 In another study, male transgenic mice expressing human CAR and PXR were used to  
240 investigate possible differences between wild-type and humanized mice in their  
241 responses to PB (Braeuning et al., 2014). In this tumor initiation/promotion study, a  
242 single initiating dose of N-nitrosodiethylamine was given, followed by PB treatment for  
243 10 months. The authors state that the tumor response in PB-treated humanized mice  
244 was less pronounced regarding tumor volume fraction and tumor multiplicity, but that  
245 “phenobarbital-mediated tumor promotion clearly occurs in mouse liver expressing the  
246 human CAR and PXR receptors” (Braeuning et al., 2014). Specifically, the liver tumor  
247 incidences observed in mice treated with the initiator alone were 7/15 adenomas in wild-  
248 type mice and 12/15 adenomas in humanized mice, and in mice treated with the initiator

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<sup>1</sup> PXR: the Pregnane X Receptor.

249 and with PB promotion the incidences were 14/14 liver adenomas in wild-type mice and  
250 15/15 liver adenomas in humanized mice.

251 Third, even if the assumption were made that rodent liver tumor data for chemicals  
252 fitting the putative CAR adverse outcome pathway (AOP) are not relevant to human  
253 cancer risk assessment, the evidence supporting the CAR MOA for PCBTF liver tumor  
254 formation in mice is still incomplete.

255 Although increased liver weight, hepatocellular hypertrophy, and liver foci were  
256 observed in the NTP (2018 and 1992) mouse studies, OEHHA has not identified any  
257 published studies demonstrating that PCBTF activates CAR in mice, or that PCBTF  
258 causes CAR-related, altered gene expression, CYP2B enzyme induction, or  
259 hepatocellular proliferation in mice. CAR-knockout mouse studies should be completed  
260 to show that CAR activation is a required event for the induction of liver tumors in male  
261 mice exposed to PCBTF.

262 More generally, alternative MOAs should be considered and studied before concluding  
263 that a single mechanism, such as the CAR AOP, is operative for any particular tumor  
264 type. A broader approach is necessary to identify other potential pathways of tumor  
265 induction. This can be accomplished, for example, through the use of genome-wide,  
266 chromosome-wide, and transcriptome-wide association studies (Shen, et al. 2015).

267 One example of such an approach was applied by Nesnow et al. (2009) to three CAR  
268 activators, namely phenobarbital (PB), triadimefon, and propiconazole. These  
269 investigators looked at transcriptional profiles in animals treated with these compounds,  
270 and found the profiles differed significantly across the three CAR activators. This work  
271 led Nesnow et al. (2009) to conclude that the mechanisms of tumorigenic action were  
272 likely to differ across the three CAR activators, and to investigate novel MOAs for  
273 propiconazole, based on transcriptomics and metabolomics data (Nesnow 2013).

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275

276 **ACA Comment 5**

277 In footnote 2 of its comment letter, ACA cites an unpublished 1992 epidemiological  
278 report<sup>2</sup> of Occidental Chemical Corporation workers. ACA states that the results from  
279 this study:

280 “provide evidence of exposures for which higher than expected rates of the types  
281 of cancers observed in animals following exposure to PCBTF were not observed  
282 in the workers [...] This resulted despite PCBTF exposure having occurred in  
283 combination with more than 80 other chemicals and workers potentially having  
284 elevated levels of exposure compared to traditional consumers.”

285 **Response to Comment 5**

286 OEHHA obtained and reviewed this unpublished study (Occidental Chemical  
287 Corporation, 1992) after we released our draft IUR document. The study was  
288 commissioned by Occidental Chemical and carried out by researchers from the  
289 University of Pittsburgh. It evaluated cancer incidence in approximately 4,000  
290 predominantly male workers at the Occidental Chemical Corporation plant in Niagara,  
291 NY. Statistically significant increases in respiratory system and stomach cancers were  
292 found in the study cohort.

293 As noted in ACA’s comment, the workers in this study were exposed to a large number  
294 of chemicals in addition to PCBTF. OEHHA adds that these chemicals included various  
295 known or suspected carcinogens, such as: benzene, trichloroacetic acid,  
296 trichloroethylene, perchloroethylene, lindane, mirex, and asbestos. Individual chemical  
297 risks could not be identified in the study due to the lack of chemical-specific, worker or  
298 workstation exposure data.

299 ACA asserts that since workers in this study did not display elevated levels of the tumor  
300 types observed in laboratory animals, the study provides evidence that PCBTF is not  
301 carcinogenic to humans.

302 OEHHA disagrees. Had the workers in this study been exposed to PCBTF alone, the  
303 observed elevated rates of respiratory and stomach cancer would provide qualitative  
304 evidence of PCBTF’s carcinogenic potential. In the absence of a quantitative worker  
305 exposure assessment, the NTP (2018) animal study results should be used to carry out

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<sup>2</sup> This study was actually completed by the researchers in 1984 but submitted to the company in 1992.

306 a dose-response assessment, irrespective of the lack of cross-species tumor-site  
307 concordance.

308 Tumor-site concordance is not required for cancer hazard or risk assessment. Although  
309 the basic cellular mechanisms of carcinogenesis are similar among mammals, this does  
310 not imply that exposure to a chemical carcinogen will always produce cancer in the  
311 same organ in different species (US EPA, 2005). Accordingly, there is no expectation of  
312 tumor-site concordance when using animal studies to predict human cancer risk  
313 (OEHHA, 2009).

314 However, given that plant workers were actually exposed to unknown concentrations of  
315 multiple potential carcinogens (including PCBTF), this study provides no useful  
316 information with which to assess PCBTF's carcinogenicity.

#### 317 **ACA Comment 6**

318 ACA asserts that "OEHHA did not use generally accepted modeling approaches."  
319 Specifically, ACA says that OEHHA relied upon draft (2014) BMDS guidance instead of  
320 US EPA's final BMDS guidelines (US EPA 2012). For example, ACA states:

321 "When selecting a dose-response model, OEHHA (2019) appears to have used  
322 methods taken from a 2014 draft operating procedure for USEPA subcontractors  
323 [...] that was never finalized. These methods are inconsistent with those found in  
324 USEPA's well-established final BMDS Guidance (2012), as well as the OEHHA  
325 (2009) Technical Support Document."

326 Regarding the use of Akaike's Information Criterion (AIC) for model selection, ACA  
327 further states:

328 "The AIC is not reported or relied upon for modeling decisions in the OEHHA  
329 (2019) Public Review Draft of the documentation of the IUR for PCBTF. OEHHA  
330 (2019) only reported p-values to characterize goodness-of-fit. However,  
331 according to the USEPA (2012) BMDS Guidance, goodness-of fit values, such as  
332 p-values, are not designed to compare results across models. Therefore, the lack  
333 of consideration of the AIC indicates that the fit of the models to the data has not  
334 been adequately assessed."

#### 335 **Response to Comment 6**

336 OEHHA generally follows US EPA guidance on the proper use of its BMD software.  
337 This includes the 2012 BMDS technical guidelines (US EPA 2012), the user manuals for  
338 BMDS version updates, US EPA on-line tutorials, and the various guideline addenda

339 published by US EPA's BMDS program. (This does not mean however, that we rigidly  
340 adhere to every recommendation in these guidelines. For example, OEHHA normally  
341 prefers to use a benchmark response rate of 5% in fitting models to data from NTP  
342 cancer studies in rodents, whereas US EPA recommends a default value of 10%.)

343 The 2014 US EPA document to which ACA refers in its commentary is titled: "Choosing  
344 Appropriate Stage of a Multistage Model for Cancer Modeling (BMDS Technical  
345 Guidance)" (US EPA 2014). It is a technical memo that represents a minor addendum to  
346 the 2012 BMDS technical guidance and provides a standard procedure for analysts in  
347 choosing the appropriate stage of the multistage cancer model. It recommends that in  
348 some cases the analyst should forego the use of the AIC to choose the final model.  
349 (The AIC is a calculated value that can be used to identify, from a set of well-fitting  
350 models, a model that provides an optimal balance between model-fit and model-  
351 parsimony.)

352 According to US EPA, this guideline "has been reviewed in accordance with U.S.  
353 Environmental Protection Agency policy and approved for publication." (See US EPA  
354 web page, <https://cfpub.epa.gov/ncea/bmnds/recordisplay.cfm?deid=308382>). OEHHA  
355 contacted US EPA BMDS staff about the status of the 2014 guidance memo, and they  
356 verified that it has been officially recommended by the Agency Statistical Workgroup  
357 (AGS) for use in US EPA risk assessments.

358 ACA is incorrect in stating that we only used Chi-squared measures of fit (i.e., p-values)  
359 to judge the fit of the multistage models to the data. We also used:

- 360 • The scaled residual for the dose nearest the benchmark dose (BMD), whose  
361 absolute value should be < 2.
- 362 • Visual inspection of the overall curve fit, particularly in the low-dose region.
- 363 • AIC comparison to consider model parsimony, when recommended by the 2014  
364 BMDS guidance addendum.

365 OEHHA also notes that using the 2014 BMDS guideline for male mouse liver tumors,  
366 upon which the proposed IUR is based, produces the same BMDL value as is obtained  
367 by using only the 2012 guidelines.

368 OEHHA also fixed a typographical error in the IUR document (pointed out by ACA) that  
369 referred to the 2014 guidance as "US EPA 2016."

370  
371 Additionally, OEHHA added a column to Table 8 of the IUR document, indicating cases  
372 in which the AIC or an alternative method was used to choose the model for each tumor

373 site. We also provided text to the Model Calculations section of the Document  
374 describing the reasons for those choices.

375

376 **ACA Comment 7**

377 ACA stated that OEHHA ignored its own peer-reviewed final guidance (OEHHA 2009)  
378 on dose-response modeling. Specifically, ACA states:

379 “The method OEHHA (2019) used to adjust for differential early mortality or  
380 significant differences in survival is a crude approach and is not recommended in  
381 either the USEPA (2005) Guidelines for Carcinogen Risk Assessment or the  
382 OEHHA (2009) Technical Support Document. Rather, the application of time-to-  
383 tumor models are noted in both Guidance documents to account for significant  
384 decreases in survival. And therefore, currently accepted scientific approaches  
385 were not relied upon to adjust for survival.”

386 **Response to Comment 7**

387 OEHHA used two standard methods to adjust the tumor-incidence data for differential  
388 early mortality in the animal studies. The “effective number” method was used for mice  
389 and the “poly-3” method was used for rats. These methods, which are described in more  
390 detail in the IUR document, have been used regularly by OEHHA, US EPA and  
391 researchers in the field. For example, OEHHA recently used the effective-number  
392 method in developing IURs for perchloroethylene, t-butyl acetate, and cobalt  
393 compounds. US EPA used effective-number for biphenyl, pentachlorophenol, and RDX.

394 As noted in the IUR document, OEHHA uses the poly-3 method in cases where  
395 differential mortality across dose groups is greater than roughly 15 percent prior to  
396 study-week 85 (or time-to-tumor modeling may be used when differential mortality is  
397 more severe).

398 ACA stated that the effective-number and poly-3 methods are “not recommended” in  
399 either US EPA (2005) or OEHHA’s TSD. More precisely, these methods are not  
400 addressed in the guidelines.

401 US EPA does however, discuss the use of these methods in some of its Integrated Risk  
402 Information System (IRIS) toxicological reviews. For example, in the IRIS review for  
403 trichloroethylene (US EPA 2011, Appendix G, page G-1), US EPA discusses when the  
404 poly-3 method (or time-to-tumor modeling) is preferred over the effective-number  
405 method:

406 “In cases in which there is high early mortality or differential mortality across dose  
407 groups and the individual animal data are available, a more involved analysis that  
408 takes into account animals at risk at different times (ages) is preferred (e.g., the  
409 poly-3 approach or time-to-tumor modeling...”

410 Regarding the use of time-to-tumor models, US EPA (2005) mentions them briefly in a  
411 single passage on page 3-15, discussing mathematical models and the need to  
412 sometimes use alternative models to get reliable results. Here, US EPA states:

413 “when there are large differences in survival across dose groups [...] models that  
414 include time-to-tumor or time-to-event information *may be useful.*” [emphasis  
415 added]

416 The TSD and previous guidelines used by OEHHA -- such as the 1985 California  
417 Department of Health Services guidelines (CDHS 1985) -- also discuss the use of time-  
418 to-tumor models. The TSD, at page 75, notes that in CDHS (1985):

419 “Several models were proposed for extrapolating low-dose human cancer risk  
420 from animal carcinogenicity data [...] The [1985] guidelines stated that time-to-  
421 tumor models (i.e., a Weibull-in-time model) should be used for low-dose  
422 extrapolation in all cases where supporting data are available, particularly when  
423 survival is poor due to competing toxicity.”

424 “However, the [1985] guidelines also noted the difficulty of determining the actual  
425 response times in an experiment. Internal tumors are generally difficult to detect  
426 in live animals and their presence is usually detected only at necropsy.  
427 Additionally, use of these models often requires making the determination of  
428 whether a tumor was the cause of death, or was found only coincidentally at  
429 necropsy when death was due to other causes. Further, competing causes of  
430 death, such as chemical toxicity, may decrease the observed time-to-tumor for  
431 nonlethal cancers by allowing earlier necropsy of animals in higher dose groups.”

432 In short, both OEHHA and US EPA guidelines present time-to-tumor analysis as an  
433 option (not a requirement) that may be used when survival is poor in some dose groups,  
434 and when the appropriate information to run the model is available.

#### 435 **ACA Comment 8**

436 ACA notes: “PCBTF was developed as a substitute for use in ACA member products  
437 precisely because it assists in reducing the public health effects of ground level ozone.  
438 Currently, there are no viable alternatives available to replace PCBTF where it is used

439 as an exempt solvent [...] Over-regulating this chemical to avoid an uncertain hazard  
440 (i.e., potential health effects in humans) will only bring about the near-certain public  
441 health impacts of increased ground level ozone.”

442 **Response to Comment 8**

443 ACA’s comment is relevant to the risk management of chemicals subject to the Hot  
444 Spots regulations. OEHHA is responsible for developing risk assessment guidelines  
445 (including IURs) for performing Hot Spots facility health risk assessments, but is not  
446 generally responsible for risk management activities resulting from Hot Spots risk  
447 assessments. Such responsibilities are the purview of the California Air Resources  
448 Board and the regional air quality management districts.



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